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Utilities Commission, City of New Smyrna Beach FACILITY CONNECTION REQUIREMENTS

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1 Definitions

Affected Systems	Portions of the Bulk Electric System or sub-transmission systems owned by others that are affected by the proposed Connection
Applicant(s)	Parties (or their agents) that are either considering connecting to, or modifying facilities that are already connected to, the portion of the Bulk Electric System owned by the Utilities Commission, City of New Smyrna Beach
Bulk Electric System	As defined by the North American Electric Reliability Corp. (NERC), i.e.: "As defined by the Regional Reliability Organization, the electrical generation resources, transmission lines, interconnections with neighboring systems, and associated equipment, generally operated at voltages of 100 kV or higher. Radial transmission facilities serving only load with one transmission source are generally not included in this definition."
Connection	The Applicants proposed connection with the Owners System
Facility	The facility, equipment, etc., which the Applicant wishes to connect to the System
FCR	Facility Connection Requirements
FRCC	The Florida Reliability Coordinating Council
IEEE / ANSI	Institute of Electrical and Electronic Engineers / American National Standards Institute
NERC	The North American Electric Reliability Corp.
Owners	The Utilities Commission, City of New Smyrna Beach
Systems	The existing portion of the Bulk Electric System owned by the Owners, and any sub-transmission systems (e.g., 69 kV system) owned by the Owners

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2 Introduction and Scope

This document, referred to as the Facility Connection Requirements (or “FCR”), is intended to serve as a reference to Applicants that are either considering connecting to, or modifying facilities that are already connected to, the portion of the Bulk Electric System owned by the Utilities Commission, City of New Smyrna Beach.

This FCR covers Generation, Transmission, and End User (subject to local law limitations) Facilities Connection and is offered in response to the North American Electric Reliability Corporation (“NERC”) Standard FAC-001-0 dated April 1, 2005 that requires transmission owners to publish their requirements for such connections. A copy of this Standard is available on the Internet at <http://www.nerc.com/files/FAC-001-0.pdf>.

NERC Standard FAC-001-0 states that its purpose is “To avoid adverse impacts on reliability, Transmission Owners must establish facility connection and performance requirements.” It requires that each Transmission Owner document, maintain, and publish FCRs to ensure compliance with NERC Reliability Standards and applicable Regional Reliability Organization, sub-regional, Power Pool, and the individual Transmission Owner planning criteria and facility connection requirements. This FCR is intended to conform to those requirements.

All new connections or modifications to existing connections to the portion of the Bulk Electric System owned by the Owners, including those to be constructed by its owner, must be in compliance with this FCR and all applicable construction standards of the Owners. Such connections must also comply with all applicable NERC standards (or its successor), with all supplements thereto promulgated by the Florida Reliability Coordinating Council (“FRCC”) such as Regional Reliability Standard PRC-024-FRCC-01, and all applicable industry standards and codes (e.g., OSHA, NEC, National Electric Safety Code, IEEE / ANSI Standards, etc.).

The Owners reserve the right to modify and amend this FCR at any time. In addition, there may be future requirements established by NERC and FRCC that may require that this FCR be revised to incorporate such requirements.



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Table 1
FAC-001 Requirements

<u>Req #</u>	<u>Requirement Description</u>	<u>FCR Section #</u>	<u>Page #</u>
2.1	Plans to Achieve Required System Performance	4.1	7
2.1.1	Procedures for Coordinated Joint Studies and Notification of New or Modified Facilities to Others	4.2	10
2.1.2	Procedures for Coordinated Joint Studies and Notification of New or Modified Facilities to Others	4.2	10
2.1.3	Voltage Level, MW & MVAR Capacity or Demand at Point of Connection	5.1.2	14
2.1.4	Breaker Duty and Swell Protection	5.1.3	15
2.1.5	System Protection and Coordination	5.1.4	16
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3 General Connection Requirements


These requirements apply to all new generation, transmission, and end user facilities to be connected to the portion of the Bulk Electric System owned by the Owners and to modifications to any like facilities already connected.

3.1 Codes, Standards, Contracts, Regulations, Etc.

All such facilities shall comply with all applicable codes, standards, government (Federal, State and Local) regulations, contracts, and operating agreements.

3.2 Interconnection at a Substation

The Owner will require all connections to the portion of the Bulk Electric System owned by the Owners must be at a substation. If no substation exists at the point of interconnection, a new substation shall be constructed. This substation shall be designed such that there are at least two (2) termination bays to allow the existing line to be looped through the station and the additional bays required for the new facilities. The new substation design shall be either a “breaker and a half” or a “ring bus” design. The Owner will designate which type, if either, is required during the Application approval process.

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4 Connection Procedures, Studies and Agreement

It is the policy of the Owner(s) to permit any qualified Applicant to connect generation, transmission or end-use facilities (“Facilities”) to its System and operate in parallel with its System (the “Connection”) provided that there will be no adverse impacts on:

- The existing portion of the Bulk Electric System owned by the Owners, and any sub-transmission systems (e.g., 69 kV system) owned by the Owners (collectively referred to as the “Systems”) and its safe and efficient operation;
- Neighboring utility systems;
- Planned connections and facilities with an earlier application date than that of the Applicant
- The general public
- On the tax-exempt status of any bond(s) issued to finance the Owner’s facilities used in providing the facility connection service.

The process for gaining interconnection to the Owners’ System is as follows:


1. Application for Connection
2. Connection Queue Assignment
3. Feasibility Study
4. System Impact Study
5. Facilities Study
6. Connection and Operations Agreement

These steps are laid out in more detail in the following sections.

4.1 Plans to Achieve Required System Performance (R2.1)

4.1.1 Step 1: Connection Application

All Applicants desiring to connect new facilities or to upgrade existing sites shall apply for a Facility Connection (“Application”). An Applicant shall submit a separate Application for each Connection and may submit multiple Applications for a single Facility. The Application must contain the following information dependent on the types of facilities the Applicant wishes to connect.


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**Table 2
Application Information Requirements**

	Generation	Transmission	End-Use
Size	Maximum Gross and Net MW and MVAR Output	Project rating and voltage	Maximum MW and MVAR demand, projected load profile
Location of the proposed facility and Connection	Location of plant and proposed interconnection point	Proposed interconnection points (both ends), proposed line route	Location of End-Use Facilities and proposed interconnection point
Modeling Information for use in power flow, stability and short circuit models, including but not limited to	Ratings, impedances, time constants, gains, inertia constants; governor system, excitation system and power system stabilizer modeling information	Ratings, impedances, capacitance	Ratings, impedances
Construction Details	Projected in-service date, projected back-feed date, projected station service need date	Projected in-service date, projected construction schedule, construction type	Projected in-service date
Status of Site Acquisition	Status of site acquisition	Projected routing, status of ROW acquisition	Status of site acquisition
Permitting Status	Status of air permit, any other permits required	Status of siting approval, environmental permits, etc.	Status of any permits, including environmental

4.1.2 Step 2: Connection Queue Assignment

The date and time at which the Owners determine that the Application is complete (“valid”) will be the official date of the Application. The Owners shall assign a queue position based upon the date and time of the valid Application. The queue position of

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each Application will be used to determine the order of performing the studies and cost responsibility for facilities. A higher queued Application is one that has been placed “earlier” in the queue in relation to another Application that is lower queued.

The Owners shall receive, process, and analyze all Applications for Connection on an equal basis, including Applications made by the Owners. Applications will be processed in the order of Connection Queue priority.

4.1.2.1 Withdrawing from the Queue

The Applicant may withdraw its Application at any time by written notice of such withdrawal to the Owners.

Withdrawal shall result in the loss of the Applicant’s Connection Queue position. An Applicant that withdraws its Application shall pay the Owners all costs that the Owners incur with respect to that Application. Owners will stop all work associated with the Application once notice is received. The Applicant must pay all monies due to the Owners before it is allowed to obtain any study data or results.


4.1.2.2 Modifications to Connection Application

Changes can be made to the Connection Application as long as the changes are not significant at any time before the start of the System Impact Study. Allowable changes include:

- Minor reconfiguration of the Connection. Changes in Connection location, including a different voltage level at the same substation would require a new Application.
- Reduction in the facility size (e.g., generation capacity or peak load forecast) by up to 50%. Any increase in size, or a reduction of more than 50% decrease in size would require a new Application
- Changes recommended by the Owners to improve the proposed Connection.
- Other changes deemed minor at the sole discretion of the Owners.

Only minor changes are allowed once the System Impact Study is complete, such as:

- Changes in equipment as long as equipment modeling constants do not change significantly. The significance of such change to be determined at the Owners’ sole discretion.
- Changes in substation layouts that do not significantly impact the results of various studies performed. The significance of such change to be determined at the Owners’ sole discretion.

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- Minor delays in the projected schedule for construction of the Facilities.
- Changes recommended by the Owners to improve the proposed Connection.
- Any other changes deemed minor at the sole discretion of the Owner.

Any other changes would require a new application.

The Applicant must meet certain milestones to remain in the Connection Queue, including:


- Signing any study agreement within 30 days and providing the appropriate fee / deposit
- Proof of site control before execution of the Connection and Operations Agreement.
- The Facility must be commercially in-service and operational no more than 3 years after the projected in service date forecasted at the completion of the Facilities Study.

If the Applicant fails to adhere to all the provisions of this FCR, the Owners shall deem the Application to be withdrawn and shall provide written notice to the Applicant of the deemed withdrawal and explanation of the reasons for such deemed withdrawal. Withdrawal shall result in the loss of the Applicant's Connection Queue position. An Applicant that withdraws or is deemed to have withdrawn its Application shall pay the Owners all costs that the Owners incur with respect to that Application. Owners will stop all work associated with the Application once notice is received. The Applicant must pay all monies due to the Owners before it is allowed to obtain any study data or results.

4.2 Procedures for Coordinated Joint Studies and Notification of New or Modified Facilities to Others (R2.1.1 and R2.1.2)

Once all required information has been provided, the Owners will initiate planning and engineering studies to assure that all NERC, FRCC and Owners mandated reliability standards are met. If the proposed Connection causes a violation of standards on the Systems, then the studies will also determine what additions and/or improvements to the Systems will be required to accommodate the Connection.

In the event that studies show that portions of the Bulk Electric System or sub-transmission systems owned by others are affected by the Connection ("Affected Systems"), the Owner will contact those Affected Systems and the FRCC and continue studies in coordination with all Affected Systems.

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If the Connection meets certain criteria established by the FRCC and the Owners, the Owners will contact the FRCC to set up a joint study. A review of the project will then be initiated per FRCC Procedures. If the Connection does not meet the level of FRCC Review, the Owners may still contact Affected Systems and give them the opportunity to review the studies.

The following types of analyses will generally be performed. All analyses will be conducted with and without the Connection and will test all reasonable contingencies deemed necessary to model the overall systems' response.

- Power flow analysis
- Short circuit analysis
- Stability analysis
- Protection analysis

In general, for all Applications, there will be three studies performed:

1. Connection Feasibility Study
2. Connection System Impact Study
3. Connection Facility Study


The Applicant can choose to forgo the Connection Feasibility Study and proceed directly to the Connection System Impact Study. Coordination with Affected Systems can occur during any and all of these three studies. The three studies are described in more detail in the following sections.

The Owners will coordinate the conduct of any studies required to determine the impact of the Application on Affected Systems with affected third parties and, if possible, include those results (if available) in its applicable study.

The Applicant will cooperate with the Owners in all matters related to the conduct of studies.

4.2.1 Step 3: Connection Feasibility Study

Within 30 days of the acknowledgement of a valid Application, the Owners shall provide the Applicant a Connection Feasibility Study Agreement that specifies the study to be performed, the responsibilities of the parties, and a good faith estimate of the cost for completing the study. The estimate for performing the study may be based on the type of Connection and size of the Facility. Study fees will be paid as a deposit equal to the good faith estimate before any study work is performed. The final fee will be reconciled at the completion of the study.

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A Connection Feasibility Study is a quick assessment of the proposed project based on previous studies, general knowledge of system performance and power flow analyses of the transmission system. The purpose of this study is to provide a quick initial assessment of the Connection impacts and if changes to the transmission system may be required. The documentation of this study may be informal or formal.

4.2.2 Step 4: Connection System Impact Study


Within 30 days of the completion of the Connection Feasibility Study, the Owners shall provide the Applicant a Connection System Impact Study Agreement that specifies the study to be performed, the responsibilities of the parties, and a good faith estimate of the cost for completing the study. The estimate for performing the study may be based on the type of Connection and size of the Facility. Study fees will be paid as a deposit equal to the good faith estimate before any study work is performed. The final fee will be reconciled at the completion of the study.

The Connection System Impact Study is a detailed study that looks at the impact of the proposed Connection on the Bulk Electric System and sub-transmission systems. The study identifies transmission system changes that might be required to accommodate the Connection and keep the Systems and Affected Systems compliant with industry standards. This study will likely include power flow, short circuit, and stability analyses, and other types of analyses as required.

4.2.3 Step 5: Connection Facilities Study

Within 30 days of with the completion of the Connection System Impact Study, the Owners shall provide the Applicant a Connection Facilities Study Agreement that specifies the study to be performed, the responsibilities of the parties, and a good faith estimate of the cost for completing the study. The estimate for performing the study may be based on the type of Connection and size of the Facility. Study fees will be paid as a deposit equal to the good faith estimate before any study work is performed. The final fee will be reconciled at the completion of the study.


The Connection Facilities Study develops the actual physical implementation plans for the Connection (interconnection facilities) and any system upgrades necessary based on the results of the Connection System Impact Study. During the Connection Facility Study, additional analyses may occur, such as power quality and protective device coordination. Depending on the number of Affected Systems involved, there may not be a single document labeled "Facilities Study", but instead the collection of design, estimate and supplemental studies may be considered the Facilities Study.

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4.2.4 Step 6: Interconnection and Operations Agreement

At the completion of the Facilities Study, a Connection and Operations Agreement will be negotiated between the Applicant and the Owners. Construction of Connection facilities or system upgrade facilities will not begin until there is agreement among the parties and there is an executed Connection and Operations Agreement. The Applicant will not be allowed to connect its Facility until Connection facilities and system upgrades are completed, although it may be possible to allow for back-feed and test power. The Connection and Operations Agreement will address:

- Responsibilities, including cost responsibilities for design, construction, commissioning, operations, etc. of facilities required for Connection
- Allocation of ownership of facilities and responsibilities for ongoing operations and maintenance
- Milestones established to facilitate the Connection
- Responsibilities and liabilities in efforts to comply with NERC Mandatory reliability Standards.
- Technical requirements, as appropriate, that are included in this FCR

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5 Technical Requirements

5.1.1 General

Some Owners will have specific technical requirements for Connection for that Owner. All connection must meet both the individual Owner's requirements and the Owners' requirements detailed in this document. If the individual Owner's requirements conflict with the collective Owners' requirements, the individual requirements will rule to the extent it is more stringent than the collective.

5.1.2 Voltage Level, MW & MVAR Capacity or Demand at Point of Connection (R2.1.3)

Generation, Transmission and End Use


Nominal voltage for the Owners is 115 kV. These systems are typically operated between +/- 5% of nominal, and +5% / -10% of nominal during emergency conditions. All equipment in the Facility and the Connection shall be designed for continuous operation at +/- 10% voltage range of nominal.

Generators

Generator Step-Up Units (GSUs) must have tap changers capable of changing the ratio of the transformer by at least +/- 5% with a maximum tap step size of 2.5%. The nominal voltage of the GSU shall be equal to the nominal voltage of the point in the System that the Applicant wishes to Connect, unless otherwise specified by the Owners.

All generators must contribute reactive power and regulate voltage on the Bulk Electric System in order to maintain the Bulk Electric System's reliability in accordance with NERC Standard VAR-002 or its successor and FRCC supplements. The Automatic Voltage Regulator (AVR) must be capable of regulating voltage on the high side of the GSU. All generators must be capable of continuous operation at voltages of +/- 5% of nominal at the generator terminal. The generator shall be capable of continuous operation at 0.9 power factor lagging (supplying MVARs into the System) and 0.95 power factor leading (absorbing MVARs from the System) net, as measured at the Connection.

The MW and MVA capacity of the generator will be determined as a result of the Connection System Impact and Connection Facilities Studies and specified in the Connection and Operations Agreement. The generator will not be allowed to exceed the specified capacity except: 1) during emergency conditions as determined by the

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Owners; and 2) if the Applicant makes a second Application for Connection for an incremental increase in capacity of the plant. The Applicant may be required to test MW and MVAR capabilities in accordance with NERC Standards MOD-024 and MOD-025 and their successors and supplements.

Transmission

All Transmission Facilities must be constructed with a capacity that meets the requirements of NERC's TPL standards and their successors and supplements for at least a 10 year planning horizon. Based on the results of the studies required above, a new facility may be significantly larger than is contemplated initially.

End Use

The MW and MVA capacity of the End Use Facility will be determined as a result of the Connection System Impact and Connection Facilities Studies and specified in the Connection and Operations Agreement. The Applicant will not be allowed to exceed the specified capacity unless the Applicant makes a second Application for Connection for an incremental increase in capacity of the Facility.

5.1.3 Breaker Duty and Swell Protection (R2.1.4)


Generators, Transmission and End Use

The Connection System Impact Study and the Connection Facilities Study will determine the minimum requirements for breaker interrupting capability. All equipment required for the Connection and Facilities shall be sized at a commercial rating that exceeds the maximum available fault current by at least 20%.

AC high voltage circuit breakers are specified by operating voltage, continuous current, interrupting current, and operating time in accordance with ANSI/IEEE Standards C37 series for breakers rated on a "Symmetrical Current Basis." When calculating breaker duty, asymmetrical fault current and other factors will be taken into account in accordance with ANSI / IEEE C37 standards.

All equipment must be designed to withstand temporary overvoltages (swells) caused by ground faults. The level of overvoltage and the duration will be determined as part of the Connection Facilities Study and specified in the Connection and Operations Agreement.

The Applicant shall design the protection systems of the Facility and its ownership share of the Connection to remove ground faults from the System promptly such that no equipment that makes up the System exceeds its capabilities of withstanding swells. The allowable magnitude of voltage swell and the allowable duration shall be

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determined as part of the Connection Facilities Study and specified in the Connection and Operations Agreement.

5.1.4 System Protection and Coordination (R2.1.5)

Generation, Transmission and End Use

Utility grade, transmission level protective relays and fault clearing systems are to be provided. All protective relays should meet or exceed ANSI/IEEE Standard C37.90. All major pieces of equipment shall be protected in their own protection zone with redundant and independent protection systems.

Adjoining power systems may share a common zone of protection between two parties (e.g., a jointly owned transmission line). Compatible relaying equipment must be used on each side of the point of ownership within a given zone of protection. The design must provide coordination for speed and sensitivity in order to maintain power system security and reliability.


All Bulk Electric System equipment is to have primary protective relaying that operates with no intentional time delay for 100% of the specified zone of coverage. On transmission lines, this is accomplished through the use of a communication channel. A second high-speed protection system may be required depending on critical clearing times.

Backup protective systems should provide additional coverage for breaker and relay failure outside the primary zone. Specific breaker failure protection schemes and relay failure backup schemes must always be applied at the Bulk Electric System level. Time and sensitivity coordination must be maintained to prevent misoperations.

At least one power source for tripping and control must be provided at substations by a DC storage battery. The battery is to be sized with enough capacity to operate all tripping devices after eight hours without a charger. An undervoltage alarm must be provided for remote monitoring by the Applicant who shall take immediate action to restore power to the protective equipment. Redundant battery systems and redundant breaker trip coils may be required depending on critical clearing times and the importance of the Facility and Connection to the Bulk Electric System.

Mechanical and electrical logic and interlocking mechanisms are required between interconnected facilities to ensure safe and reliable operation. These include, but are not limited to, breaker and switch auxiliary contacts, undervoltage and synch-check relays, and physical locking devices.

A transfer trip is required for many installations. It is used for backup protection and islanding schemes. For new installations, fiber optics is the preferred means of communication. Power line carrier schemes may also be used.

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Applicants connecting to the System shall investigate and keep a log of all protective relay actions and misoperations as required by the FRCC in compliance with NERC Standard PRC-004 and its successors and supplements.

If NERC Standard PRC-005 applies to the Applicant, the Applicant connecting to the System must have a maintenance and testing program for their protection systems, and have evidence that the maintenance and testing program is being carried out according to plan. Documentation of the protection maintenance and testing program shall be supplied to the Owners, the FRCC, and NERC on request in accordance with NERC Standard PRC-005 and its successors and supplements. At intervals described in the documented maintenance and testing program, and following any apparent malfunction of the protection equipment, the Applicant shall perform both calibration and functional trip tests of its protection equipment.

The Owners shall review and approve any protection system design of the Facility and the Connection.


The Owner, Applicant and neighboring utilities shall work together to perform protection system coordination studies and set protection system relays accordingly in accordance with NERC Standard PRC-001 and its successors and supplements.

Generation Protection

Protection requirements for generators shall include at minimum:

- Overvoltage
- Undervoltage
- Overload
- Phase and ground fault protection
- Open circuit
- Phase unbalance and reversal
- Overfrequency
- Underfrequency
- Loss of source (e.g. transfer trip)
- Isolated operating conditions
- Prevention of dead-line reclosing

Additional protection schemes may be required at the sole discretion of the Owners (e.g., Loss of Excitation, Out-Of-Step).

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Facility protection devices shall include a circuit breaker to be located at the high side of each generator step up transformer prior to the point of interconnection. The Applicant shall be solely responsible to disconnect the Facility from the System if System conditions are such that continued connection would damage the Facility.

Transmission and End-Use Protection

Facility protection devices shall include a circuit breaker to be located on the Facility side of the Connection. The Facility operator shall be solely responsible to disconnect the Facility from the System if System conditions are such that continued connection would damage the Facility.

Acceptable transmission line relaying:

- Differential Relaying using high speed communication between ends of the line for primary relaying
- Impedance relaying (Zone 1, Zone 2 and Zone 3) with high speed transfer trip schemes (permissive over-reaching, stuck breaker transfer trip) for primary relaying (and possibly back-up relaying if critical clearing times require it)
- Ground directional overcurrent relaying

Typical transformer and bus protection schemes:


- Differential schemes
- Directional overcurrent and directional ground overcurrent

Other relaying schemes may be required at the sole discretion of the Owners (e.g., underfrequency load shedding schemes (UFLS) for End Use Connection). Reclosing of overhead transmission lines may be allowed as determined solely by the Owners.

5.1.5 Metering and Telecommunications (R2.1.6)

Generation, Transmission and End-Use

The Owners shall install required metering equipment at the point of Connection prior to any operation of the Facility and shall own, operate, test and maintain all such equipment. Each installation needs to be evaluated separately for metering requirements because of the many possible contractual agreements and interconnection configurations. In general, however, the following quantities are to be provided for each supply point. MW-hours received, MW-hours delivered, KQ-hours received, KQ-hours delivered, MVAR-hours received, MVAR-hours delivered, Three Phase Voltage, Three Phase Current, +/- MW, and +/- MVAR. These quantities may need to be provided to various parties through various information/communication

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systems. Specific designs will be developed to meet those requirements. All metering devices are to be pre-approved by the Owners prior to installation.

Revenue meters are to have an accuracy class of 0.3% or better. Transducers are to be accurate to +/- 0.2% of full scale. Three element meters are to be used on all effectively grounded power systems. Both primary and backup revenue meters are to be provided. Backup current transformers (CTs) and potential transformers (PT's) are not required.

Instrument transformers are to have an accuracy class of 0.3% or better with 0.15% being preferred. Metering accuracy CTs and PTs are to be installed as close to the delivery point as practical. CT ratios are to be selected just above the expected full load. Using multi-ratio CT's are not advisable since accuracy is lost when using lower taps. Metering CT's and PT's should not be used to feed non-metering equipment such as protective relays. Metering CT's are not to be connected in parallel. Auxiliary CT's are not to be used in metering circuits. When more than one point is to be monitored, individual metering is to be used. The impedance of the CT and PT cable leads is to be kept low and not impose burdens above that of the instrument transformer rating.


When the metering location is different from the delivery point, compensation for losses is required. Compensation should be performed internally by the installed metering equipment rather than by after-the-fact calculations.

Revenue meters are to remain sealed during operation and following maintenance or calibration testing. All parties are to be notified prior to removing seals. Calibration testing is to be performed annually and is to include all associated parties. Test equipment must be certified and traceable to the National Bureau of Standards.

The Owners will provide metered quantities in a mutually agreed upon form to the Applicant upon request.

The Applicant may, at its sole option and expense, install check meters on its premises and on its side of the Connection to verify the Owners' meters. Check meters shall not be used for the measurement of power flows unless specifically authorized by this FCR. The check meters shall be subject to inspection by the Owners at all reasonable times.

The Owners shall inspect and test all of its metering equipment upon installation and thereafter at intervals not to exceed two (2) years. At the request of the Applicant, the Owners will inspect and test its metering equipment at any time within the two (2) year period and/or on a more frequent standard interval. The Owners will strive to notify the Applicant a minimum of twenty four (24) hours prior to any inspection or test so that the Applicant may witness the work if deemed necessary. If, at any time, the Owners'

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metering equipment is found to be inaccurate or defective, it shall be adjusted, repaired or replaced as required.

If the metering equipment fails to register or reads more than 2% different from standard test meters, the Owners will adjust all readings taken since the last verified test by using the Applicant's check meter, if installed. If no check meter was installed, the Owner will estimate the adjustment using industry accepted engineering methods.

All metered data will be sent electronically to locations designated by the Owners and the Applicant. This data will be used as the official measurement of the amount of energy delivered to or withdrawn from the System by the Facility.

Prior to initial connection to the System, the Applicant shall establish the following minimum voice and data communications with the Owners.

Voice: The voice communications listed below shall be sufficiently redundant so that a failure of a single phone cable/switch/etc. will not render all inoperable. Communication systems shall be in accordance with NERC Standards COM-001, COM-002 and other standards, successors and supplements.


- Standard dial up phone service to Facility control room.
- Facsimile communications to the Facility control room using a separate phone line.
- Dedicated phone connection between Facility control room and the Owners' (or Owner's agent's) dispatch center.

Data: A remote terminal unit ("RTU") compatible with the Owners' Supervisory Control and Data Acquisition System ("SCADA") shall be installed and be fully operational prior to the initial connection of the Facility to the System. The RTU shall be connected to the Owners' SCADA by a dedicated method with equal or better redundancy than for voice circuits. The data required from the RTU will be negotiated and specified in the Connection and Operations Agreement. Bi-directional real and reactive power flow information including magnitude and direction shall be communicated instantaneously and continuously to the Owners via the data circuits.

5.1.6 Grounding and Safety Issues (R2.1.7)

Generation, Transmission and End-Use

The Facility shall conform in all ways to all applicable government and industry standards including but not limited to the National Electrical Safety Code, OSHA, National Electrical Code, IEEE Guides and Standards, ANSI Standards, NERC Standards, and to the Owners safety and installation standards. Strict adherence to established switching, tagging and grounding procedures will be required at all times.

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Under no circumstances shall the Facility attempt to energize a “dead” transmission line unless agreed to in advance by the Owners, with the potential exception of transmission Connection automatic reclosing scheme.

5.1.7 Surge Protection, Insulation and Insulation Coordination (R2.1.8)


Generation, Transmission and End-Use

The Protection studies, conducted after the Connection and Operations Agreement is executed and design is underway, will determine the insulation levels and surge protection required for all connecting facilities including those on the Facility side of the Connection and at the Facility. Requirements will vary by Owner and by location. Additional insulation beyond normal industry requirements may be required due to isokeraunic levels of Florida and the potential for salt build-up on insulators. Depending on the location of the Facility and the Connection in proximity to the shoreline, it may be necessary to wash insulators periodically to eliminate salt accumulation on the insulators. The necessity and frequency of this maintenance practice will be negotiated in the Connection and Operations Agreement, in addition to insulation and surge protection specifications.

5.1.8 Voltage, Reactive Power and Power Factor Control (R2.1.9)

Generation

All generators must contribute reactive power to the Bulk Electric System in order to maintain the Bulk Electric System’s reliability. NERC Standards require that generator owners and operators and transmission operators work jointly to optimize the use of reactive power capability (Standards VAR-001 and VAR-002 or any standards that supersede or supplement these). Therefore, all generation owners and operators that desire to Connect to the System shall be required to operate its Automatic Voltage Regulator in voltage control mode to maintain a proscribed voltage schedule to support voltage and VAR requirements in their local area. A study will be performed after the Connection and Operations Agreement is executed to determine a voltage schedule and GSU no-load tap settings for the Facility in accordance with NERC Standards VAR-001 and VAR-002 and their successors and supplements.

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Transmission

The Connection System Impact Study will identify voltage issues and reactive power issues associated with new transmission connections in accordance with NERC Standard VAR-001 and its successors and supplements. If the Facility, Connection and the System do not perform within criteria limits (e.g., no continuous voltage excursions beyond +/- 5%, no danger of voltage collapse, etc.), the Applicant will need to install reactive compensation (active and/or passive) to cause the Facility, Connection and System to perform within criteria limits, and the System to perform no worse than before the Facility's Connection.

End Use

Power Factor of the Facility at the Connection must be maintained between:

**Table 3
Power Factor Requirements**


	Lagging	Leading
On Peak Hours (6 AM to 10PM Winter 10 AM to 10 PM Summer)	0.97	0.98
Off Peak Hours	0.95	1.00 (Unity)

It is the Applicant's responsibility to regulate voltage on its facilities. It is recommended that the Applicant install step-down transformers with On-Load Tap Changers capable of regulating voltage +/- 10% of nominal in 33 steps, and a no-load tap changer capable of +/- 5% of nominal in 5 steps.

5.1.9 Power Quality Impacts (R2.1.10)

Generation, Transmission and End Use

The Facility shall not degrade the quality of the System's voltage or current and shall operate within limits as established by the following standards and guidelines:

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**Table 4
Power Quality Requirements**

Continuous Voltage Variations	ANSI C84.1
Harmonics	IEEE Standard 519
Voltage Flicker	IEC 61000-2-2, IEEE 1453
Voltage Balance, Negative Sequence Voltage and Current	ANSI / NEMA MG1, IEEE 112, ANSI C84.1
Switching Transients	Within the applicable limits of surge arrestors and insulation as determined through study

Additional analysis may be necessary (e.g., harmonics studies, transient studies) at the Facilities Study Stage to determine whether Power Quality criteria are met. If not, additional equipment may need to be installed as part of the connection (e.g., harmonic filters, additional surge protection, higher insulation levels, etc.)

5.1.10 Equipment Ratings (R2.1.11)

Generation, Transmission and End Use


All new equipment associated with the Facility and the Connection shall be rated a minimum of 20% above the maximum loading determined by the studies referenced above for a 10 year planning horizon (except the GSU for a generator, which needs to be sized to the capacity of the generator). The Applicant is responsible for developing ratings methodologies and ratings for equipment owned by the Applicant. The Owners are responsible for developing rating methodologies and ratings for equipment owned by the Owners (e.g., NERC Standards FAC-008 and FAC-009).

5.1.11 Synchronizing of Facilities (R2.1.12)

Generation, Transmission and End Use

The Applicant shall be responsible for the proper synchronization of the Facility to the System. All synchronization of the Facility to the System shall be with the circuit breaker on the high side of the Facility or on the Applicant's side of the Connection. Under no circumstances shall the Owners' circuit breakers be used for this task.

All facilities with synchronous generation or synchronous motors that intend to connect to the grid with their synchronous machines operating will be required to have at least one functional synchronizing check relay (IEEE Device 25) that supervises the

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connection and prevents asynchronous closing. Additional synchronizing requirements will be negotiated in the Connection and Operations Agreement.

5.1.12 Maintenance Coordination (R2.1.13)

Generation and Transmission

The Applicant is solely responsible for all maintenance of the Facility and the Connection equipment owned by the Applicant unless the Owners have reserved for themselves specific maintenance items as part of the Connection and Operations Agreement.

The removal of the Facility from service for routine maintenance shall be scheduled with the Owners a minimum of six (6) months prior to beginning, and the Owners shall have the right to modify said schedule if maintenance planning projects potential issues that may compromise the reliability of the Bulk Electric System. No removal for routine maintenance will be allowed if the Facility's capacity is required to meet projected demands during the projected outage period.

End Use


The Facility owner is solely responsible for all maintenance of the Facility and the Connection equipment owned by the Applicant unless the Owners have reserved for themselves specific maintenance items as part of the Connection and Operations Agreement

5.1.13 Operational Issues (abnormal frequency and voltages) (R2.1.14)

Generation, Transmission and End Use

The Connection and Operations Agreement will have within it provisions for the Owners or its agent to direct the operation of the Applicant's Facility in accordance with NERC Standards IRO-001 and TOP-001, and their successors and supplements.

NERC Standard PRC-002, and its successors and supplements, may require the Applicant to install Disturbance Monitoring Equipment in accordance with NERC Standard PRC-018 and its successors and supplements. The Applicant will install such monitoring equipment if it is deemed necessary by the standards. Installation, maintenance, testing and operations of the Disturbance Monitoring Equipment will be addressed in the Connection and Operations Agreement, including provisions to install such equipment at a later date

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Generation

Generation units larger than 50 MVA shall install a power system stabilizer (PSS).

All new synchronous generators connected to the System with a nameplate rating greater than 20 MVA shall be equipped with a speed/load governing control that has a speed droop characteristic in the 3 to 6% range. The preferred droop characteristic setting is 5% as this is the typical setting for generators in peninsular Florida. The generator and its protection systems shall be designed to meet the performance requirements of FRCC Standard PRC-024-FRCC-01 and its successor and supplements to ensure that the Facility can “ride through” frequency deviations of pre-determined magnitudes. The term “ride through” refers to the ability of the generator to remain connected to and synchronized with the Bulk Electric System during frequency excursions of pre-determined size and duration.


Generating facilities must be designed to remain on line for normal clearing system faults within the close proximity to the plant switchyard. Voltage may approach zero at the switchyard bus for six cycles for some types of faults. Control systems, contactors, motors and auxiliary loads that might otherwise cause a generator trip if lost must not drop out under these conditions. Critical contactors must be provided with ride-through capability where required. Additionally, generator protection systems such as the Load Drop Anticipator, Early Valve Actuator or Power Load Unbalance should not be designed to trip a generator for normal clearing external faults or stable swings.

Transmission

In the course of studies described above (e.g., Connection System Impact Study), and in the course of annual planning studies, there may come a time when it is determined that the Applicant’s Transmission Facility may require specialized protection systems, such as out-of-step relaying, synch check relaying, etc., to maintain the reliability of the Bulk Electric System. If such specialized protection is deemed to be necessary, then the Applicant will be required to install the relaying. Installation, maintenance, testing and operations of the specialized protection system equipment will be addressed in the Connection and Operations Agreement, including provisions to install such equipment at a later date.

End Use

Under-Frequency Load Shedding (UFLS) and/or Under-Voltage Load Shedding (UVLS) equipment may need to be installed at the Connection or at the Facility to meet the Owners’ obligations for NERC Standards PRC-007 and/or PRC-010. Installation, maintenance, testing and operations of the UFLS or UVLS equipment will be addressed in the Connection and Operations Agreement, including provisions to install such equipment at a later date.

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5.1.14 Inspection Requirements for Existing or New Facilities (R2.1.15)

Generation, Transmission and End Use


The Owners shall have the right to inspect any and all portions of new or upgraded Facility and Connection that in any way actually or potentially impact the System. Inspection rights will be negotiated as part of the Connection and Operations Agreement.

5.1.15 Communications and Procedures - Normal and Emergency Operating Conditions (R2.1.16)

Generation, Transmission and End Use

During normal and emergency operating conditions, the Utilities Commission, City of New Smyrna Beach (UCNSB) (who is the Owners' Balancing Authority), the Owners' operations group, the Transmission Operator and the Reliability Coordinator are responsible for maintaining a safe and reliable Bulk Electric System. All facilities connected to the System shall immediately follow directives issued by any of these and/or their designee in accordance with NERC Standards, particularly IRO-001 and TOP-001 and their successors and supplements.

Specific details regarding these functions will be included in the Connection and Operations Agreement.

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